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JACOB SILVERMAN

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

COURTNEY MCMILLIAN and RONALD COOPER,

Case No. 3:23-cv-03461-TLT

OPPOSITION OF NON-PARTY JACOB SILVERMAN TO DEFENDANTS' ADMINISTRATIVE MOTION FOR LEAVE TO FILE SUR-REPLY AND RESPONSE TO PROPOSED SUR-REPLY

DATE: October 1, 2024
TIME: 2:00PM

Judge: Hon. Trina L. Thompson

1 Pursuant to Local Rule 7-11(b), proposed intervenor Jacob Silverman (“Mr. Silverman”)
 2 respectfully submits this opposition to Defendants’ motion for leave to file a sur-reply and response
 3 to the arguments set forth in Defendants’ proposed sur-reply.

4 Additional briefing is unnecessary and unwarranted because the jurisdictional effect of an
 5 appeal is irrelevant to this Court’s power to grant Mr. Silverman’s motion. As Defendants’
 6 opposition already recognized, under Ninth Circuit precedent, adjudicating a motion to intervene for
 7 the limited purpose of unsealing judicial records does not require “an independent basis for
 8 jurisdiction.” Defs.’ Opp. at 8 (ECF No. 105) (quoting *Donnelly v. Glickman*, 159 F.3d 405 (9th
 9 Cir. 1998)). And for good reason: “Every court has supervisory power over its own records.”
 10 *Nixon v. Warner Commc’ns, Inc.*, 435 U.S. 589, 598 (1978). Mr. Silverman—“[a] third party
 11 seeking permissive intervention purely to unseal a court record”—“does not need to demonstrate
 12 independent jurisdiction.” *Cosgrove v. Nat’l Fire & Marine Ins. Co.*, 770 F. App’x 793, 795 (9th
 13 Cir. 2019); *see also Beckman Indus., Inc. v. Int’l Ins. Co.*, 966 F.2d 470, 473 (9th Cir. 1992) (same).

14 A notice of appeal does nothing to change that analysis. Its jurisdictional effect is limited to
 15 “those aspects of the case involved in the appeal,” *Griggs v. Provident Consumer Disc. Co.*, 459
 16 U.S. 56, 58 (1982), and it has no impact on issues “collateral” to the merits, *Ashker v. Cate*, No. 09-
 17 cv-05796, 2019 WL 1558932, at *3 (N.D Cal. Apr. 10, 2019); *see In re Midland Nat’l Life Ins. Co.*
 18 *Annuity Sales Practice Litig.*, 686 F.3d 1115, 1119 (9th Cir. 2012) (order on motion to unseal is a
 19 collateral order). A district court is therefore “permitted to consider whether to unseal [a] record
 20 despite [the] filing of a notice of appeal,” *FutureFuel Chemical Co. v. Lonza*, 756 F.3d 641, 648
 21 (8th Cir. 2014), and the same is true of “a motion to intervene for the limited purpose of unsealing
 22 records,” *CRST Expedited, Inc. v. TransAm Trucking Inc.*, No. 16-cv-0052, 2018 WL 9880439, at
 23 *2 (N.D. Iowa Oct. 9, 2018) (collecting cases). Defendants’ contrary argument is meritless.

24 In the sole case Defendants cite that dealt with a motion to intervene and unseal,
 25 intervention was denied because the very issue on appeal was the enforceability of the protective
 26 order in question, *see Milliner v. Mut. Secs., Inc.*, No. 15-cv-03354, 2019 WL 5067012, at *1–3
 27 (N.D. Cal. Oct. 9, 2019); in other words, the motion to unseal in *Milliner* was “directly related to a

1 matter currently before the Ninth Circuit,” *id.* at 4. But *Milliner* simultaneously reaffirmed the
 2 familiar rule—applicable here—that “[t]he court is not divested of jurisdiction over matters
 3 collateral to a determination of the merits of the case.” *Id.* at 4. Here, the question whether
 4 Defendants’ corporate-disclosure statement should be sealed is in no way before the Ninth Circuit:
 5 This Court has not even acted on that motion to seal yet, and the dismissal order that Plaintiffs *did*
 6 appeal has no relationship to that question. Defendants’ suggestion that Mr. Silverman should
 7 nevertheless ask the Ninth Circuit for relief it cannot grant—to unseal records in this Court’s file
 8 that the Ninth Circuit does not have access to, *see L.R. 79-2*—does not pass the laugh test.

9 Defendants’ other citations are similarly unhelpful because they all involved efforts to
 10 intervene on the merits, which Defendants know full well are governed by different jurisdictional
 11 rules. *See* Defs.’ Opp. at 8 (acknowledging that a motion “to intervene for the limited purpose of
 12 unsealing court records” is an “exception” to the need to show “an independent basis for
 13 jurisdiction” (citation omitted)).¹ Ninth Circuit law makes patently clear that this Court’s
 14 jurisdiction over the underlying case or controversy has no relationship to its jurisdiction over its
 15 own records. *See Beckman*, 966 F.2d at 473. Plaintiffs’ filing of a notice of appeal is irrelevant.

16 It bears underlining that any other rule would have absurd results. The jurisdictional effect
 17 of a notice of appeal “is a judge-made rule originally devised in the context of civil appeals to avoid
 18 confusion or waste of time from having the same issues before two courts at the same time,” *United*
 19 *States v. Claiborne*, 727 F.2d 842, 850 (9th Cir. 1984), and “the rule should not be employed to
 20 defeat its purpose or to induce needless paper shuffling,” *id.* (quoting 9 J. Moore, *Fed. Prac.* ¶
 21 203.11 at 3-44 n. 1 (1980)). Here, because Mr. Silverman cannot ask the Ninth Circuit to unseal
 22 *this Court’s* “own records” in the first instance, *Nixon*, 435 U.S. at 598, Defendants’ position in
 23

24 ¹ *See Assoc. Gen. Contractors of Cal. v. Sec’y of U. S. Dep’t of Com.*, 77 F.R.D. 31, 33–34 (C.D. Cal.
 25 1977) (motion to intervene to be joined as defendants); *Apple Inc. v. Samsung Elecs. Co.*, 2014 WL 12812431, at *1
 26 (N.D. Cal. July 29, 2014) (motion to intervene to introduce “new evidence in support of Apple”); *Stiller v. Costco*
 27 *Wholesale Corp.*, 2015 WL 1612001, at *1 (S.D. Cal. Apr. 9, 2015) (motion to intervene to seek an appeal); *Drywall*
 28 *Tapers & Pointers of Greater N.Y. v. Nastasi & Assocs. Inc.*, 488 F.3d 88, 90–93 (2d Cir. 2007) (motion to intervene to
 oppose preliminary injunction); *Bryant v. Crum & Forster Specialty Ins. Co.*, 502 F. App’x 670, 671 (9th Cir. 2012)
 (motion to intervene to assert interest in fees awarded in connection with final judgment); *Nicol v. Gulf Fleet Supply*
Vessels, Inc., 743 F.2d 298, 298–99 (5th Cir. 1984) (same).

1 practice is that *no* court has jurisdiction to consider whether to unseal their disclosure statement.
 2 But jurisdiction “cannot float in the air,” stranded somewhere between this Court and the Ninth
 3 Circuit. *Ruby v. U.S. Sec'y of Navy*, 365 F.2d 385, 389 (9th Cir. 1966); *see also Webster v. Doe*,
 4 486 U.S. 592, 603 (1988) (noting the “serious constitutional question that would arise if a federal
 5 statute were construed to deny any judicial forum for a colorable constitutional claim” (citation
 6 omitted)). And, indeed, it does not. In this and every other jurisdiction, the law is clear: “The
 7 court’s supervisory power does not disappear because jurisdiction over the relevant controversy has
 8 been lost. The records and files are not in limbo.” *Gambale v. Deutsche Bank AG*, 377 F.3d 133,
 9 141 (2d Cir. 2004). Defendants’ motion for leave to file a sur-reply to introduce an argument that
 10 their own opposition already recognized is incompatible with Ninth Circuit precedent “induce[s]
 11 needless paper shuffling” and should be denied. *Claiborne*, 727 F.2d at 850 (citation omitted). In
 12 the event the Court grants Defendants’ motion for leave to file a sur-reply, however, Mr. Silverman
 13 asks that the Court consider the arguments herein as his response to the sur-reply on the merits.

14 **CONCLUSION**

15 For the foregoing reasons, the Court should deny Defendants’ motion for leave to file a sur-
 16 reply. In the event the Court permits the filing of Defendants’ sur-reply, Mr. Silverman respectfully
 17 requests that the Court consider the arguments herein in response to that proposed sur-reply when
 18 ruling on Mr. Silverman’s motion.

19 Dated: August 20, 2024

20 s/ Katie Townsend

21 Katie Townsend
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